

## **STIC Biotechnology Systems Branch**

### **RAW SEQUENCE LISTING** **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/705,398  
Source: LEW 16  
Date Processed by STIC: 06/16/2005

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,**
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY**

**FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:**

**<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>**

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)**
- 2. U.S. Postal Service:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):**  
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/24/05

## Raw Sequence Listing Error Summary

**ERROR DETECTED**

### SUGGESTED CORRECTION

SERIAL NUMBER: 10/705,398

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- |    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Wrapped Nucleics<br/>    Wrapped Aminos</p> </div> <div style="width: 80%;"> <p>The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor <b>after</b> creating it. Please adjust your right margin to .3; this will prevent "wrapping."</p> </div> </div>                                                                                                                                                                                                                                                                                   |
| 2  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Invalid Line Length</p> </div> <div style="width: 80%;"> <p>The rules require that a line <b>not exceed</b> 72 characters in length. This includes white spaces.</p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 3  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Misaligned Amino<br/>    Numbering</p> </div> <div style="width: 80%;"> <p>The numbering under each 5<sup>th</sup> amino acid is misaligned. Do <b>not</b> use tab codes between numbers; use <b>space characters</b>, instead.</p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                         |
| 4  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Non-ASCII</p> </div> <div style="width: 80%;"> <p>The submitted file was <b>not</b> saved in ASCII(DOS) text, as <b>required</b> by the Sequence Rules. <b>Please ensure your subsequent submission is saved in ASCII text.</b></p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                         |
| 5  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Variable Length</p> </div> <div style="width: 80%;"> <p>Sequence(s)____ contain n's or Xaa's representing more than one residue. <b>Per Sequence Rules, each n or Xaa can only represent a single residue.</b> Please present the <b>maximum</b> number of each residue having variable length and indicate in the &lt;220&gt;-&lt;223&gt; section that some may be missing.</p> </div> </div>                                                                                                                                                                                                                            |
| 6  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ PatentIn 2.0<br/>    "bug"</p> </div> <div style="width: 80%;"> <p>A "bug" in PatentIn version 2.0 has caused the &lt;220&gt;-&lt;223&gt; section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant &lt;220&gt;-&lt;223&gt; section to the subsequent amino acid sequence. <b>This applies to the mandatory &lt;220&gt;-&lt;223&gt; sections for Artificial or Unknown sequences.</b></p> </div> </div>                                                                   |
| 7  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Skipped Sequences<br/>    (OLD RULES)</p> </div> <div style="width: 80%;"> <p>Sequence(s) ____ missing. If intentional, please insert the following lines for <b>each</b> skipped sequence:<br/> (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)<br/> (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)<br/> (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)<br/> This sequence is intentionally skipped</p> <p>Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to <b>include</b> the skipped sequences.</p> </div> </div> |
| 8  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Skipped Sequences<br/>    (NEW RULES)</p> </div> <div style="width: 80%;"> <p>Sequence(s) ____ missing. If <b>intentional</b>, please insert the following lines for <b>each</b> skipped sequence.<br/> &lt;210&gt; sequence id number<br/> &lt;400&gt; sequence id number<br/> 000</p> </div> </div>                                                                                                                                                                                                                                                                                                                     |
| 9  | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Use of n's or Xaa's<br/>    (NEW RULES)</p> </div> <div style="width: 80%;"> <p>Use of n's and/or Xaa's have been detected in the Sequence Listing.<br/> Per 1.823 of Sequence Rules, use of &lt;220&gt;-&lt;223&gt; is <b>MANDATORY</b> if n's or Xaa's are present.<br/> In &lt;220&gt; to &lt;223&gt; section, please explain location of n or Xaa, and which residue n or Xaa represents.</p> </div> </div>                                                                                                                                                                                                           |
| 10 | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Invalid &lt;213&gt;<br/>    Response</p> </div> <div style="width: 80%;"> <p>Per 1.823 of Sequence Rules, the only <b>valid</b> &lt;213&gt; responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). &lt;220&gt;-&lt;223&gt; section is <b>required</b> when &lt;213&gt; response is Unknown or is Artificial Sequence</p> </div> </div>                                                                                                                                                                                                                                                          |
| 11 | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Use of &lt;220&gt;</p> </div> <div style="width: 80%;"> <p>Sequence(s) ____ missing the &lt;220&gt; "Feature" and associated numeric identifiers and responses.<br/> <del>Use of &lt;220&gt; to &lt;223&gt; is <b>MANDATORY</b> if &lt;213&gt; "Organism" response is "Artificial Sequence" or "Unknown."</del> Please explain source of genetic material in &lt;220&gt; to &lt;223&gt; section.<br/> (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)</p> </div> </div>                                                                                               |
| 12 | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ PatentIn 2.0<br/>    "bug"</p> </div> <div style="width: 80%;"> <p>Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.</p> </div> </div>                                                                                                                                                                                                                                  |
| 13 | <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;"> <p>____ Misuse of n/Xaa</p> </div> <div style="width: 80%;"> <p>"n" can <b>only</b> represent a single <u>nucleotide</u>; "Xaa" can <b>only</b> represent a single amino acid</p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                                                                                   |



IFW16

## RAW SEQUENCE LISTING

DATE: 06/16/2005

PATENT APPLICATION: US/10/705,398

TIME: 12:16:36

Input Set : A:\10634-002002.txt

Output Set: N:\CRF4\06162005\J705398.raw

4 <110> APPLICANT: Liao, Shutsung  
 5 Song, Ching  
 8 <120> TITLE OF INVENTION: STEROID DERIVATIVES  
 11 <130> FILE REFERENCE: 10634-002002  
 13 <140> CURRENT APPLICATION NUMBER: US 10/705,398  
 C--> 14 <141> CURRENT FILING DATE: 2203-11-10  
 16 <150> PRIOR APPLICATION NUMBER: US 09/560,236  
 17 <151> PRIOR FILING DATE: 2000-04-28  
 19 <150> PRIOR APPLICATION NUMBER: US 60/191,864  
 20 <151> PRIOR FILING DATE: 2000-03-24  
 22 <150> PRIOR APPLICATION NUMBER: US 60/131,728  
 23 <151> PRIOR FILING DATE: 1999-04-30  
 26 <160> NUMBER OF SEQ ID NOS: 12  
 28 <170> SOFTWARE: FastSEQ for Windows Version 4.0  
 30 <210> SEQ ID NO: 1  
 31 <211> LENGTH: 16  
 32 <212> TYPE: DNA  
 33 <213> ORGANISM: Artificial Sequence  
 35 <220> FEATURE:  
 36 <223> OTHER INFORMATION: Synthetically generated oligonucleotide  
 38 <220> FEATURE:  
 39 <221> NAME/KEY: misc\_feature  
 40 <222> LOCATION: 7-10  
 41 <223> OTHER INFORMATION: n = a, g, t or c  
 43 <400> SEQUENCE: 1  
 W--> 44 aggtcannnn aggtca 16  
 47 <210> SEQ ID NO: 2  
 48 <211> LENGTH: 57  
 49 <212> TYPE: DNA  
 50 <213> ORGANISM: Artificial Sequence  
 52 <220> FEATURE:  
 53 <223> OTHER INFORMATION: Synthetically generated oligonucleotide  
 55 <220> FEATURE:  
 56 <221> NAME/KEY: misc\_feature  
 57 <222> LOCATION: 16-18, 22-27, 34-39  
 58 <223> OTHER INFORMATION: n =a, g, t or c  
 60 <400> SEQUENCE: 2  
 W--> 61 gtatcgccgg aattcnnntt gnnnnnnttg ttgnnnnnnt aagtcgactc tagagcc 57  
 63 <210> SEQ ID NO: 3  
 64 <211> LENGTH: 57  
 65 <212> TYPE: DNA  
 66 <213> ORGANISM: Artificial Sequence  
 68 <220> FEATURE:

Does Not Comply  
Corrected Diskette Needed

(pg-3)

## RAW SEQUENCE LISTING

DATE: 06/16/2005

PATENT APPLICATION: US/10/705,398

TIME: 12:16:36

Input Set : A:\10634-002002.txt

Output Set: N:\CRF4\06162005\J705398.raw

```

69 <223> OTHER INFORMATION: Synthetically generated oligonucleotide
71 <220> FEATURE:
72 <221> NAME/KEY: misc_feature
73 <222> LOCATION: 19-24, 31-36, 40-43
74 <223> OTHER INFORMATION: n = a, g, t or c
76 <400> SEQUENCE: 3
W--> 77 ggctctagag tcgacttann nnnncaacaa nnnnnncaan nngaattccg gcgatac 57
79 <210> SEQ ID NO: 4
80 <211> LENGTH: 57
81 <212> TYPE: DNA
82 <213> ORGANISM: Artificial Sequence
84 <220> FEATURE:
85 <223> OTHER INFORMATION: Synthetically generated oligonucleotide
87 <400> SEQUENCE: 4
88 gtatcgccgg aattcatctt gcacagattg ttgcaagaat aagtcgactc tagagcc 57
90 <210> SEQ ID NO: 5
91 <211> LENGTH: 57
92 <212> TYPE: DNA
93 <213> ORGANISM: Artificial Sequence
95 <220> FEATURE:
96 <223> OTHER INFORMATION: Synthetically generated oligonucleotide
98 <400> SEQUENCE: 5
99 ggctctagag tcgacttatt cttgcaacaa tctgtgcaag atgaattccg gcgatac 57
101 <210> SEQ ID NO: 6
102 <211> LENGTH: 60
103 <212> TYPE: DNA
104 <213> ORGANISM: Artificial Sequence
106 <220> FEATURE:
107 <223> OTHER INFORMATION: Synthetically generated oligonucleotide
109 <220> FEATURE:
110 <221> NAME/KEY: misc_feature
111 <222> LOCATION: 16-18, 22-27, 37-42
112 <223> OTHER INFORMATION: n = a, g, t or c
114 <400> SEQUENCE: 6
W--> 115 gtatcgccgg aattcnnntt gnnnnnntgg ttgttgnnnn nntaagtcga ctctagagcc 60
118 <210> SEQ ID NO: 7
119 <211> LENGTH: 60
120 <212> TYPE: DNA
121 <213> ORGANISM: Artificial Sequence
123 <220> FEATURE:
124 <223> OTHER INFORMATION: Synthetically generated oligonucleotide
126 <220> FEATURE:
127 <221> NAME/KEY: misc_feature
128 <222> LOCATION: 19-24, 34-39, 43-45
129 <223> OTHER INFORMATION: n = a, g, t or c
131 <400> SEQUENCE: 7
W--> 132 ggctctagag tcgacttann nnnncaacaa ccannnnnnc aannngaatt ccggcgatac 60
135 <210> SEQ ID NO: 8
136 <211> LENGTH: 5

```

## RAW SEQUENCE LISTING

DATE: 06/16/2005

PATENT APPLICATION: US/10/705,398

TIME: 12:16:36

Input Set : A:\10634-002002.txt

Output Set: N:\CRF4\06162005\J705398.raw

137 <212> TYPE: PRT  
 138 <213> ORGANISM: Artificial Sequence  
 140 <220> FEATURE:  
 141 <223> OTHER INFORMATION: Motif  
 143 <220> FEATURE:  
 144 <221> NAME/KEY: VARIANT  
 145 <222> LOCATION: 2, 3  
 146 <223> OTHER INFORMATION: Xaa = any amino acid  
 148 <400> SEQUENCE: 8  
 W--> 149 Leu Xaa Xaa Leu Leu  
 150 1 5  
 153 <210> SEQ ID NO: 9  
 154 <211> LENGTH: 6  
 155 <212> TYPE: PRT  
 156 <213> ORGANISM: Artificial Sequence  
 158 <220> FEATURE:  
 159 <223> OTHER INFORMATION: Motif  
 161 <220> FEATURE:  
 162 <221> NAME/KEY: VARIANT  
 163 <222> LOCATION: 2, 3  
 164 <223> OTHER INFORMATION: Xaa = any amino acid  
 166 <400> SEQUENCE: 9  
 W--> 167 Leu Xaa Xaa Trp Leu Leu  
 168 1 5  
 171 <210> SEQ ID NO: 10  
 172 <211> LENGTH: 26  
 173 <212> TYPE: DNA  
 174 <213> ORGANISM: Artificial Sequence  
 176 <220> FEATURE:  
 177 <223> OTHER INFORMATION: Synthetically generated oligonucleotide  
 179 <400> SEQUENCE: 10  
 180 ttcaggtcac aggaggtcac agagct 26  
 182 <210> SEQ ID NO: 11  
 183 <211> LENGTH: 27  
 184 <212> TYPE: DNA  
 185 <213> ORGANISM: Artificial Sequence  
 187 <220> FEATURE:  
 188 <223> OTHER INFORMATION: Synthetically generated oligonucleotide  
 190 <400> SEQUENCE: 11  
 191 tcgagctctgg tacaggggtgt tcttttg 27  
 193 <210> SEQ ID NO: 12  
 194 <211> LENGTH: 16  
 195 <212> TYPE: DNA  
 196 <213> ORGANISM: Artificial Sequence  
 198 <220> FEATURE:  
 199 <223> OTHER INFORMATION: Synthetically generated oligonucleotide  
 201 <400> SEQUENCE: 12  
 202 aggtcaagcc aggtca 16

Invalid Response  
See Item # 11 in  
Summary Sheet

Same Error

**RAW SEQUENCE LISTING ERROR SUMMARY**  
PATENT APPLICATION: US/10/705,398

DATE: 06/16/2005  
TIME: 12:16:37

Input Set : A:\10634-002002.txt  
Output Set: N:\CRF4\06162005\J705398.raw

**Please Note:**

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; N Pos. 7, 8, 9, 10  
Seq#:2; N Pos. 16, 17, 18, 22, 23, 24, 25, 26, 27, 34, 35, 36, 37, 38, 39  
Seq#:3; N Pos. 19, 20, 21, 22, 23, 24, 31, 32, 33, 34, 35, 36, 40, 41, 42  
Seq#:6; N Pos. 16, 17, 18, 22, 23, 24, 25, 26, 27, 37, 38, 39, 40, 41, 42  
Seq#:7; N Pos. 19, 20, 21, 22, 23, 24, 34, 35, 36, 37, 38, 39, 43, 44, 45  
Seq#:8; Xaa Pos. 2, 3  
Seq#:9; Xaa Pos. 2, 3

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/705,398

DATE: 06/16/2005

TIME: 12:16:37

Input Set : A:\10634-002002.txt

Output Set: N:\CRF4\06162005\J705398.raw

L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:44 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0  
L:61 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0  
L:77 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0  
L:115 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:0  
L:132 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:0  
L:149 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8 after pos.:0  
L:167 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 after pos.:0